



TREC Holder Pakistan Stock Exchange Limited  
Room No.617 & 618, Stock Exchange Building,  
19-Khayaban-e-Aiwan-e-Iqbal, Lahore – Pakistan

Broker Pakistan Stock Exchange. Code 275 Broker Registration BRK-15

## FATCA Form - Individual / Joint / Sale Proprietor Account

The Foreign Account Tax Compliance Act (FATCA) is U.S. legislation enacted by US Congress to prevent offshore tax abuses by U.S. persons (including individuals and corporate). It is aimed at US persons from holding financial accounts outside U.S. to evade U.S tax liabilities. To enforce compliance FATCA requires foreign financial institutions (FFIs) like RAHAT SECURITIES LIMITED to report directly to the IRS information about financial account held by U.S taxpayers (even if they hold only non-U.S assets), or held by foreign entities in which U.S. taxpayers hold a substantial ownership interest.

To compel foreign financial institutions to disclose information about the foreign accounts of U.S, tax payers, FATCA imposes a penalty on non-compliant foreign financial institutions of 30% withholding tax applied to payments of U.S source income gross proceeds of sales of property that could produce U.S. income and passthru payments.

RAHAT SECURITIES LIMITED is required by applicable law to request certain taxpayer information from certain persons who maintain an account with it (whether such persons are U.S. taxpayers or not). Information collected will only be used for fulfil Rahat Securities Limited. requirements under applicable law and will not be used for any other purpose.

### Section A

This section must be completed by any individual who wishes to open or maintain an account. RAHAT SECURITIES LIMITED Please Complete this form Separately for each individual of a Joint Account.

Name: \_\_\_\_\_

Country of tax residence: (Pakistan)  (USA)  (Other)  Country and City of Birth: \_\_\_\_\_

Please tick (✓) to appropriate check box		Documentation Required
1. Are you a U.S. Resident	<input type="checkbox"/> Yes <input type="checkbox"/> No	If Yes, please provide Form W-9
2. Are you a U.S Citizen	<input type="checkbox"/> Yes <input type="checkbox"/> No	
3. Do you hold a U.S. Permanent Resident Card (Green Card)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
4. Were you born in U.S.A.	<input type="checkbox"/> Yes <input type="checkbox"/> No	<ul style="list-style-type: none"> <li>▪ If yes,</li> <li>▪ Please provide form w-9, or</li> <li>▪ In case you claim to be Non US Person: please fill section B of this form and provide Non-US passport and certificate of loss of Nationality (i.e. form 1-407)</li> </ul>
5. Are there any standing instructions to transfer to a US account	<input type="checkbox"/> Yes <input type="checkbox"/> No	<ul style="list-style-type: none"> <li>if yes</li> <li>▪ Please provide form w-9, or</li> </ul>
6. Do you have any Power of Attorney/Authorized Signatory/Mandate holder having US Address	<input type="checkbox"/> Yes <input type="checkbox"/> No	<ul style="list-style-type: none"> <li>▪ In case you claim to be a No-US Person please fill section B of this form supported by other documentary evidence establishing the non-US status.</li> </ul>
7. Do you have US residence/mailling/Sole care of address ?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<ul style="list-style-type: none"> <li>if yes</li> <li>▪ Please provide form w-9, or</li> </ul>
8. Do you have a US telephone number	<input type="checkbox"/> Yes <input type="checkbox"/> No	<ul style="list-style-type: none"> <li>▪ In case you claim to be a No-US Person please fill section B of this form and provide non-US Passport and other documentary evidence establishing the non-US status.</li> </ul>
9. do you have any tax obligation in a country other than Pakistan	<input type="checkbox"/> Yes <input type="checkbox"/> No	

- I hereby confirm the information provided above is true, accurate and complete.
- I hereby provide my/our consent to TREC Holder or any of its associates/affiliates including branches (collectively "the Broker") to disclose and furnish and share information pertaining to my/our account to domestic or overseas regulators or tax authorities where necessary to establish our tax liability in any jurisdiction.
- I also authorize to Broker to deduct withholding tax from my/our account when required to do so by domestic or overseas regulators or tax authorities or pay out, from my/our account(s) such amounts as may be required according to applicable laws, rules, regulations, agreements with regulators or authorities and directives (both local and international).
- I shall indemnify and hold the Broker harmless against any claim, damages, costs, expenses and other direct and/or indirect consequence of the Broker disclosing, furnishing and sharing any information pertaining to my/our trading account with any domestic or overseas regulators or tax authorities.
- I agree and undertake to notify the broker within thirty (30) day calendar day if there is a change in any information which I have provided to the Broker.

Customer Signature \_\_\_\_\_ Date \_\_\_\_\_

US Taxpayer Identification Number (if any) in case of U.S Person: \_\_\_\_\_